

# Segmentation using Level Set Methods

## Region Based Active Contours

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# Contents

Active contours recap

Active Contours Without Edges

- Mumford-Shah Functional

- Active-Contours Without Edges: Chan-Vese Functional

- Active-Contours Without Edges: Solution

- Examples

Summary

References

# Contents

Active contours recap

Active Contours Without Edges

Mumford-Shah Functional

Active-Contours Without Edges: Chan-Vese Functional

Active-Contours Without Edges: Solution

Examples

Summary

References

## Active Contours (Snakes)

- ▶ Active contour model (snakes) can be written as:

$$E_{AC}(\mathcal{C}) = \int_0^1 \alpha |\mathcal{C}'(q)|^2 + \beta |\mathcal{C}''(q)|^2 dq + \int_0^1 P(\mathcal{C}(q)) dq,$$

where  $P$  is the potential field.

- ▶ The deformable contour (snake) is a mapping:

$$\mathcal{C}(q) : [0, 1] \rightarrow \mathbb{R}^2, \quad q \mapsto \mathcal{C}(q) = (x(q), y(q))^T.$$

- ▶ Potential  $P$  can be:

- ▶ Edges:  $P_{edge}(\mathcal{C}(q)) = -|\nabla(G_\sigma * f(\mathcal{C}(q)))|^2$

- ▶ Lines (high intensity):  $P_{line}(\mathcal{C}(q)) = -G_\sigma * f(\mathcal{C}(q))$

- ▶ Combination:  $P(\mathcal{C}(q)) = -w_{line}P_{line} - w_{edge}P_{edge}$

- ▶ Often  $P(\mathcal{C}(q)) = g(|\nabla G_\sigma * I(\mathcal{C}(q))|)$  where  $g : [0, \infty) \rightarrow \mathbb{R}^+$  is a strictly decreasing function:  $g(r) \rightarrow 0$  as  $r \rightarrow \infty$ .

# Active Contours: Evolution equation

- ▶ The snake evolution equation:

$$\frac{\partial C}{\partial t} = \alpha C''(s) - \beta C''''(s) - k_1 \mathbf{n}(s) - k_2 \frac{\nabla P(C(s))}{|\nabla P(C(s))|}$$

where

$$P(C(s)) = -w_{line}(G_\sigma * f(C(s))) + w_{edge} |\nabla(G_\sigma * f(C(s)))|^2$$

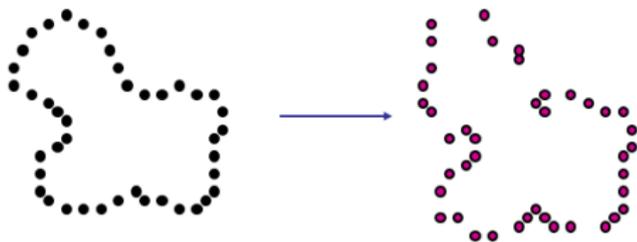
- ▶ In matrix form:

$$(I + \tau A)X^{t+1} = X^t + \tau F(X^t)$$

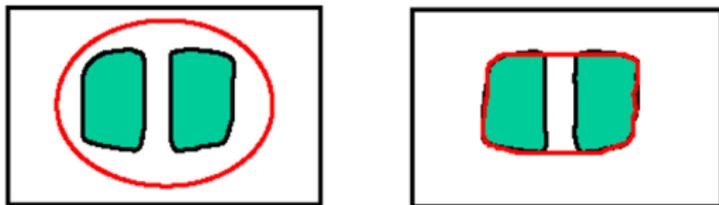
- ▶ Parameter choice (better but not obligatory):
  - ▶  $\alpha$  controls elasticity
  - ▶  $\beta$  controls stiffness
  - ▶  $k_1$  sign controls inflate or deflate
  - ▶  $|\tau k_1| < |\tau k_2| < 1$
  - ▶  $\tau$  controls the snake speed

# Problems with Parametric Curves

- ▶ Reparametrization needed (hard with surfaces in 3D)



- ▶ Cannot handle topological changes



- ▶ Hard to extend to 3D

$$\begin{aligned} \gamma \frac{\partial \mathbf{v}}{\partial t} - \frac{\partial}{\partial s} \left( w_{10} \frac{\partial \mathbf{v}}{\partial s} \right) - \frac{\partial}{\partial r} \left( w_{01} \frac{\partial \mathbf{v}}{\partial r} \right) + 2 \frac{\partial^2}{\partial s \partial r} \left( w_{11} \frac{\partial^2 \mathbf{v}}{\partial s \partial r} \right) \\ + \frac{\partial^2}{\partial s^2} \left( w_{20} \frac{\partial^2 \mathbf{v}}{\partial s^2} \right) + \frac{\partial^2}{\partial r^2} \left( w_{02} \frac{\partial^2 \mathbf{v}}{\partial r^2} \right) + \nabla P(\mathbf{v}(s, r)) = 0, \end{aligned}$$

## Geodesic Active Contours

- ▶ Caselles et.al. 1995, Kichenassamy et al. 1995
- ▶ Curve with minimal **geodesic** length is searched.

$$\begin{aligned} E_{GAC}(C) &= \int_0^{L(C)} g(|\nabla G_\sigma * I(C(s))|) ds = \\ &= \int_0^1 g(|\nabla G_\sigma * I(C(q))|) |C'(q)| dq \end{aligned}$$

where  $g : [0, \infty) \rightarrow \mathbb{R}^+$  is a strictly decreasing function such that  $g(r) \rightarrow 0$  as  $r \rightarrow \infty$ , e.g.,

$$g(|\nabla G_\sigma * I(x, y)|) = \frac{1}{1 + |\nabla G_\sigma * I(x, y)|}$$

- ▶ The curve is attracted by image edges, where the weight  $g(|\nabla G_\sigma * I(C(q))|)$  is small.
- ▶ Energy functional is not convex and therefore there are **several local minima**.

# Geodesic Active Contours: Evolution Equation

- ▶ **Evolution equation** (i.e. Euler-Lagrange equation with  $\frac{\partial \mathcal{C}}{\partial t}$  on the left side) for geodesic active contours is

$$\frac{\partial \mathcal{C}}{\partial t} = (g\kappa - (\nabla g \cdot \mathbf{n})) \mathbf{n}$$

where  $\mathbf{n}$  is curve normal (vector) and  $\kappa$  is curvature (scalar)

- ▶ This equation can be rewritten in level-set framework.
- ▶ The curve is embeded in SDF  $u$  (with evolution speed  $\nu = g\kappa - \nabla g \cdot n$ ).

The evolution equation becomes

$$\frac{\partial u}{\partial t} = g\kappa|\nabla u| - \nabla g \cdot \nabla u$$

## Level Sets Idea

- ▶ Curve  $\mathcal{C}$  is represented **implicitly** as a zero level set of a higher-dimensional function  $u : \mathbb{R}^2 \rightarrow \mathbb{R}$ .

$$\mathcal{C} = \{(x, y) : u(x, y) = 0\}$$

In **level set formulation**, the curve evolution according to

$$\frac{\partial \mathcal{C}}{\partial t} = \beta n$$

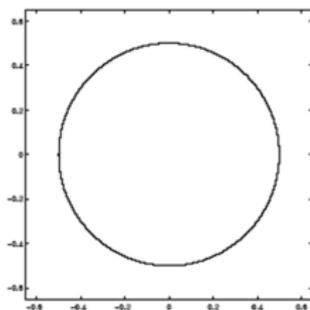
leads to the **evolution of embedding function**  $u$  according to

$$\frac{\partial u}{\partial t} + \beta |\nabla u| = 0$$

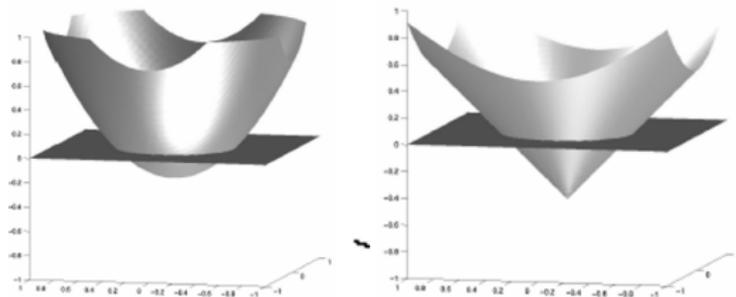
where  $n$  is curve normal and  $\beta$  is the evolution speed (scalar).

# Level Sets Idea

- ▶ Curve



- ▶ Different embedding functions  $u(x, y)$



# Signed Distance Function (SDF): Example

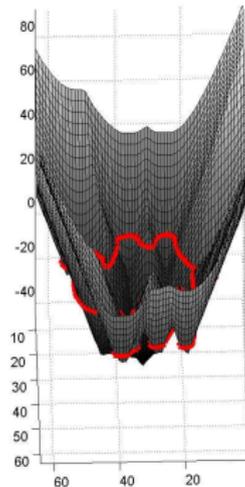
- ▶ For  $C = \partial\Omega$ , SDF  $d$  defined by:

$$d(\mathbf{x}) = \begin{cases} -\min_{\mathbf{y} \in C} |\mathbf{x} - \mathbf{y}| & \text{if } \mathbf{x} \in \Omega^- \\ +\min_{\mathbf{y} \in C} |\mathbf{x} - \mathbf{y}| & \text{if } \mathbf{x} \in \Omega^+ \cup C \end{cases}$$

- ▶ Normal  $n = \nabla d$ ,  $|\nabla d| = 1$
- ▶ Curvature  $\kappa = \nabla \cdot \nabla d = \nabla^2 d = \Delta d$



digital shape



SDF  $d(\mathbf{x})$

# Geodesic Active Contours: Evolution Equation

- ▶ The evolution equation

$$\frac{\partial u}{\partial t} = g\kappa|\nabla u| - \nabla g \cdot \nabla u$$

contains **curvature motion** and **velocity field motion**.

- ▶ It is solved using level set methods
- ▶ We can add **normal direction motion** (balloon force)

$$\frac{\partial u}{\partial t} = (c + \kappa)g|\nabla u| - \nabla g \cdot \nabla u$$

# Geodesic Active Contours: Iterative Scheme

- ▶ The equation

$$u_t = (c + \epsilon\kappa)g|\nabla u| + \beta\nabla g \cdot \nabla u$$

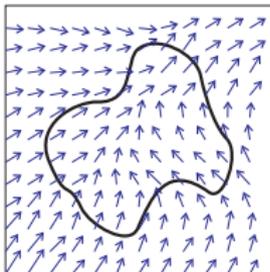
consists of three types of motion we have discussed before:

- ▶ **normal direction motion** with speed  $cg(|\nabla G_\sigma * I(x, y)|)$
  - ▶ **curvature motion** multiplied by a factor  $\epsilon g(|\nabla G_\sigma * I(x, y)|)$
  - ▶ **external velocity field motion** given by  $\beta\nabla g$ .
- ▶ Therefore, we have

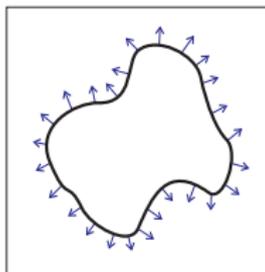
$$u_{ij}^{k+1} = u_{ij}^k + \tau \cdot [\text{Normal}(cg) + \text{Curvature}(\epsilon g) - \text{Velocity}(\beta\nabla g)].$$

# Three types of motion

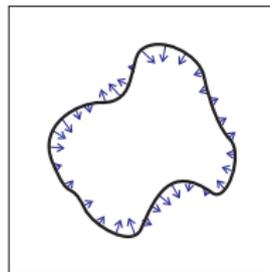
external velocity



normal motion



curvature motion



# All Types of Motion Together

The general equation

$$u_t = a|\nabla u| - \epsilon\kappa|\nabla u| + \beta \mathbf{V} \cdot \nabla u$$

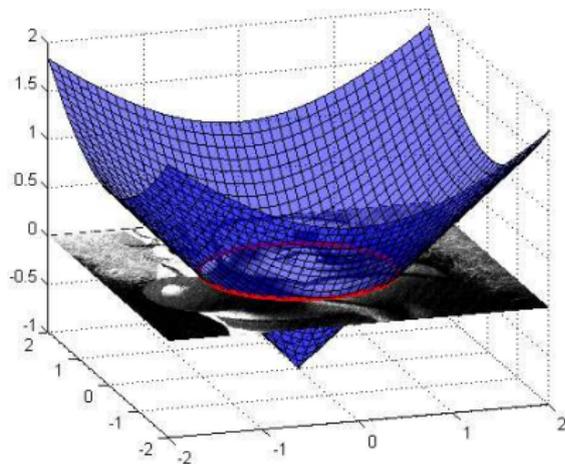
is discretized with the **numerical scheme**

$$u_{ij}^{n+1} = u_{ij}^n + \tau \left[ \begin{array}{l} [\max(cg_{ij}, 0)\nabla^+ + \min(cg_{ij}, 0)\nabla^-] + \\ + [\epsilon g_{ij} K_{ij}^n \sqrt{(D_{ij}^{0x})^2 + (D_{ij}^{0y})^2}] + \\ \left[ \begin{array}{l} \max(w_{ij}^n, 0)D_{ij}^{-x} + \min(w_{ij}^n, 0)D_{ij}^{+x} \\ + \max(v_{ij}^n, 0)D_{ij}^{-y} + \min(v_{ij}^n, 0)D_{ij}^{+y} \end{array} \right] \end{array} \right],$$

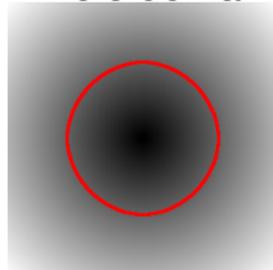
Note:  $w_{ij} = g'_x(ih, jh)$  and  $v_{ij} = g'_y(ih, jh)$

**Stability condition:** The most restrictive (curvature) term forces  $\tau = \mathcal{O}(h^2)$

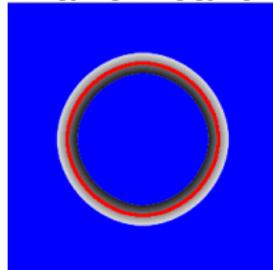
# Level Set Methods: Narrow Band



Whole domain

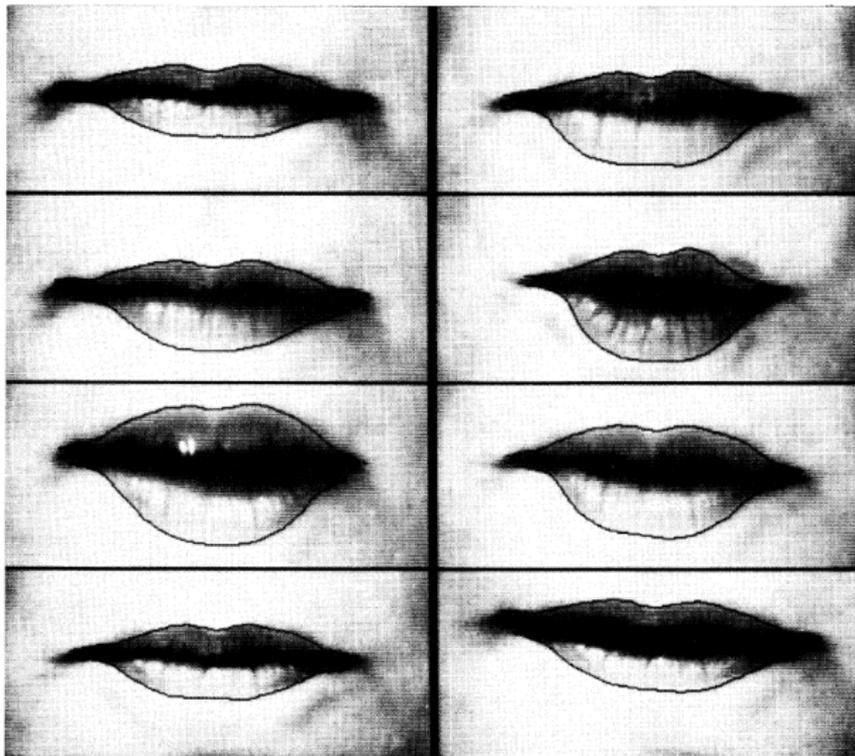


Narrow band



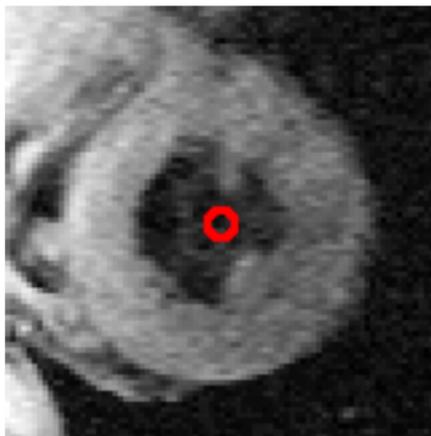
- ▶ We can compute the evolution in a **narrow band** around the zero level set only!

# Active Contours: Example

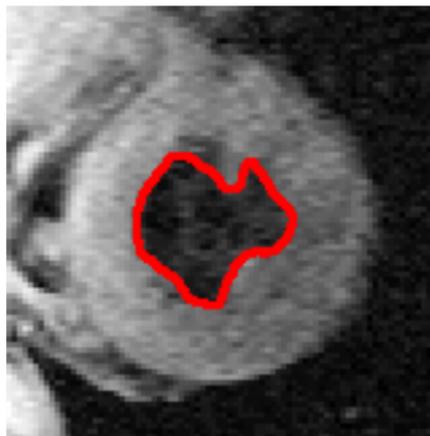


Source: [Kass et. al. 1987]

# Geodesic Active Contours: Example



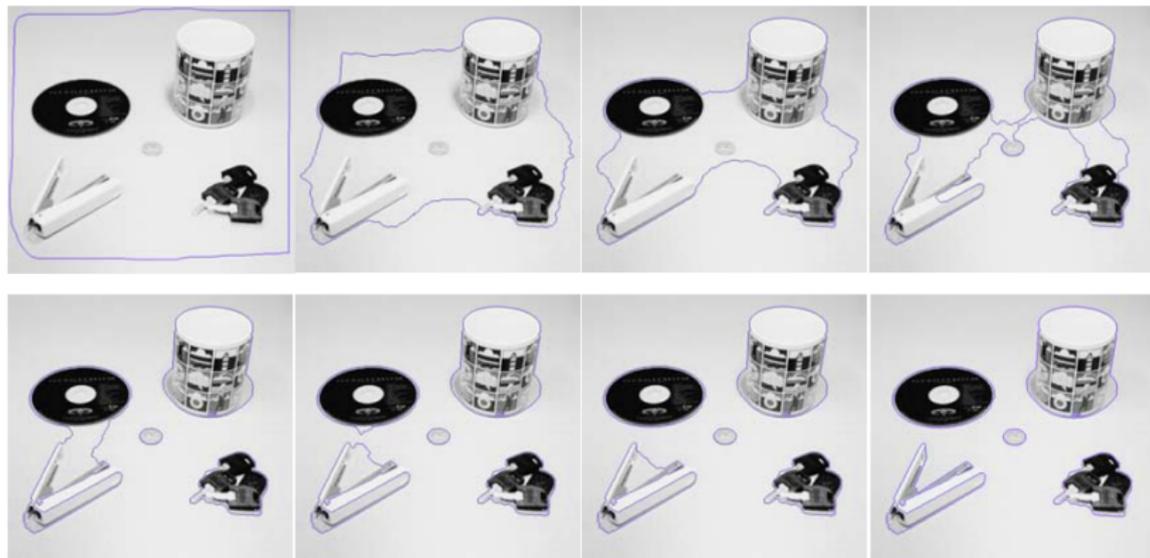
Initial contour



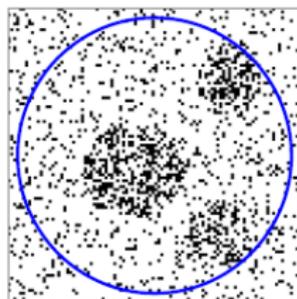
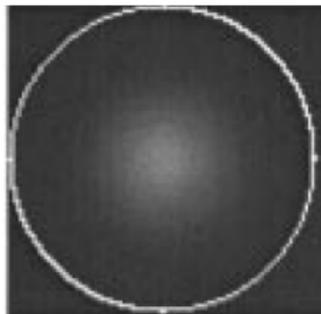
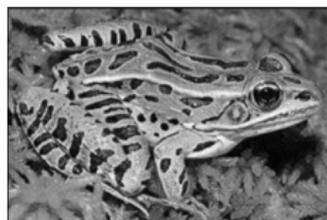
Result

$$\tau = 0.25, c = 1, \epsilon = 0.5,$$
$$\beta = 0.5, \rho = 2, \sigma = 2.0$$

# Geodesic Active Contours: Example



## What if no / weak / noisy edges?



- ▶ Region **interior** was **not considered** in previously discussed active contours!
- ▶ **No region homogeneity** was required (image structures under the curve are important for solution only).

# Contents

Active contours recap

## Active Contours Without Edges

- Mumford-Shah Functional

- Active-Contours Without Edges: Chan-Vese Functional

- Active-Contours Without Edges: Solution

- Examples

Summary

References

# Contents

Active contours recap

**Active Contours Without Edges**

**Mumford-Shah Functional**

Active-Contours Without Edges: Chan-Vese Functional

Active-Contours Without Edges: Solution

Examples

Summary

References

# Mumford-Shah Functional

- ▶ **Functional formulation of segmentation.**
- ▶ Segmentation  $(u, \mathcal{C})$  of an image  $f : \Omega \rightarrow \mathbb{R}$  is defined as the minimizer of

$$E_{MS}(u, \mathcal{C}) = \lambda \int_{\Omega} (u - f)^2 dx + \beta \int_{\Omega \setminus \mathcal{C}} |\nabla u|^2 dx + \mu |\mathcal{C}|$$

where  $u(x)$  is smoothed version of  $f(x)$ , and  $\mathcal{C}$  is an edge set curve where  $u$  is allowed to be discontinuous

- ▶ First term penalises deviations from original image  $f$
- ▶ Second term penalises variations within each segment
- ▶ Third term penalises the edge length  $|\mathcal{C}|$
- ▶ **Mathematically very difficult:**
  - ▶ We are looking for edges  $\mathcal{C}$  and image  $u$
  - ▶ Non-convex
  - ▶ Complicated and computationally expensive
- ▶ **No unique solution in general.**
- ▶ Several **simplifications** of functional  $E_{MS}$  were proposed

# Mumford-Shah Functional: Example

$f$



Mumford-Shah  
piecewise-smooth approximation



From [P. Getreuer, Chan–Vese Segmentation, IPOL Journal, 2012]

# Mumford-Shah Functional

- ▶ Piecewise constant formulation
- ▶ Segmentation  $(u, \mathcal{C})$  of an image  $f : \Omega \rightarrow \mathbb{R}$  is defined as the minimiser of

$$E_{MS1}(u, \mathcal{C}) = \int_{\Omega} (u - f)^2 dx + \mu |\mathcal{C}|$$

where  $u(x)$   $u$  is required to be constant on each connected component of  $\Omega \setminus \mathcal{C}$

- ▶ Existence of a solution proved by [Mumford and Shah, 1989] and [Morel and Solimini, 1994]

# Contents

Active contours recap

## Active Contours Without Edges

Mumford-Shah Functional

**Active-Contours Without Edges: Chan-Vese Functional**

Active-Contours Without Edges: Solution

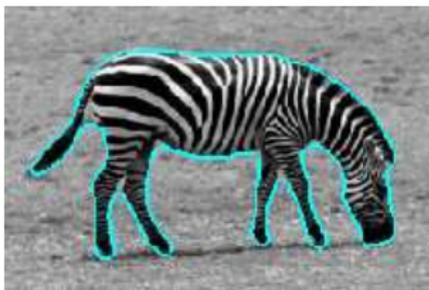
Examples

Summary

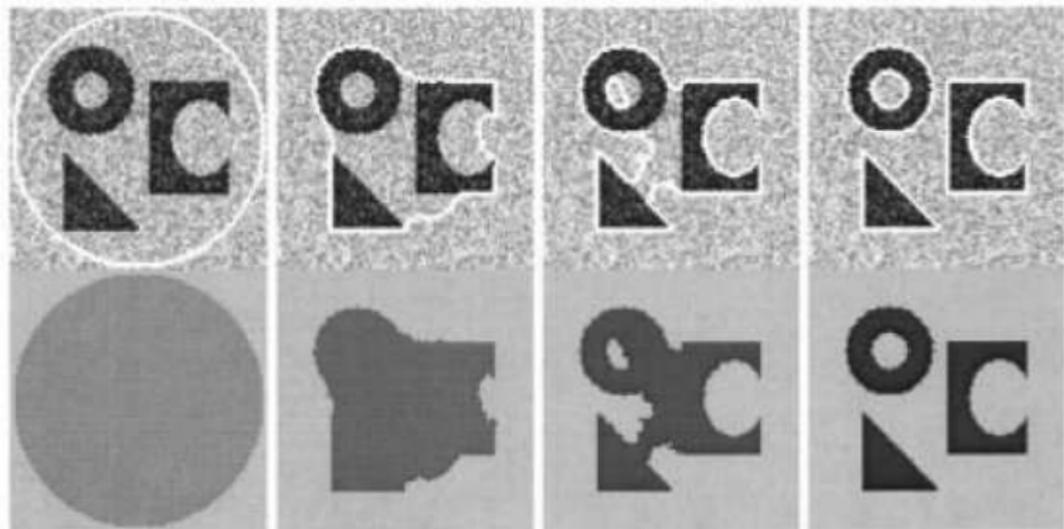
References

# Active-Contours Without Edges

- ▶ Chan and Vese 1998
- ▶ **Given:** Image  $f : \Omega \rightarrow \mathbb{R}$
- ▶ **Goal:** Segmentation of  $\Omega$  into **two regions** (possibly disconnected)
- ▶ Curve evolution is **based on region information** (but not on edges)
- ▶ Can be extended to segment color and textured images.

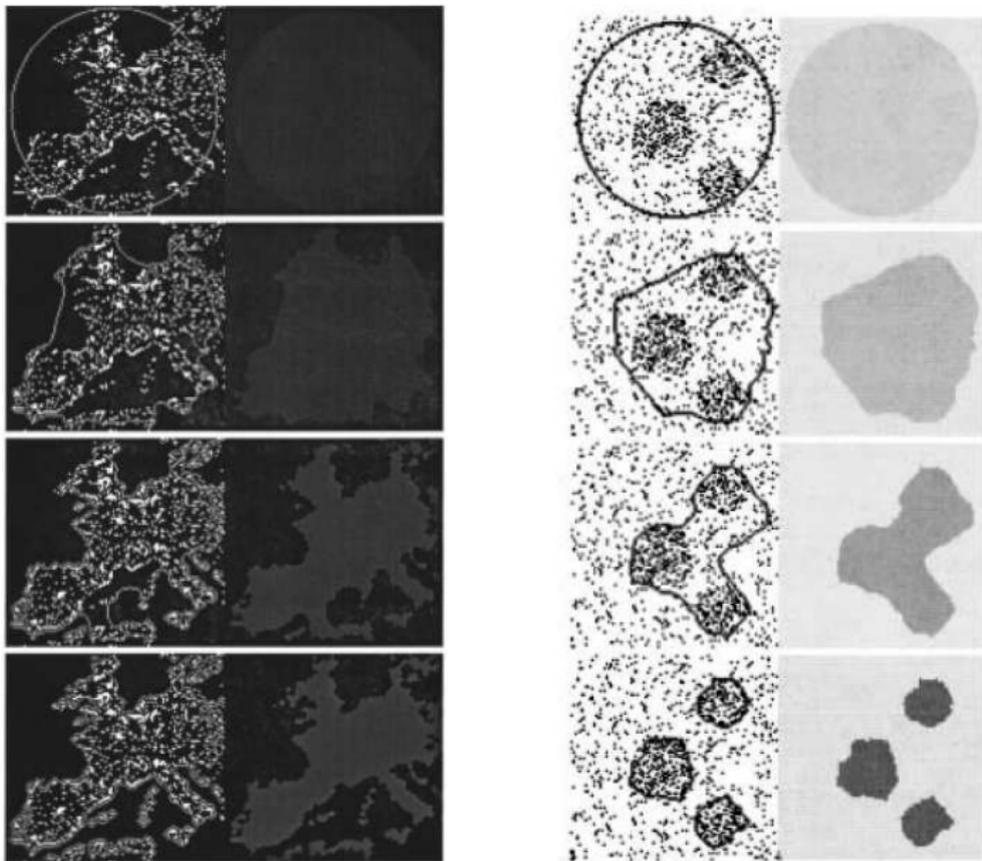


# Active Contours Without Edges: Example 1



Source: Chan, Vese, Active Contours Without Edges, 2001

## Active Contours Without Edges: Example 2



Source: Chan, Vese, Active Contours Without Edges, 2001

# Active-Contours Without Edges: Idea

- ▶ Regions are separated by a curve  $\mathcal{C}$ .
- ▶ In each region, a **constant grey-value** is supposed to approximate the image.
- ▶ **Data term** penalises the **deviation from the piecewise constant approximation** of the input image
- ▶ **Regularity term** impose regularity constraints for the curve (requires curve of **minimal length**).

# Active-Contours Without Edges: Functional

- ▶ Let  $f(x) : \Omega \rightarrow \mathbb{R}$  is the input image.
- ▶ Let  $\mathcal{C}$  be the boundary between two regions  $\Omega_1$  and  $\Omega_2$ .  
 $\Omega = \Omega_1 \cup \Omega_2 \cup \mathcal{C}$
- ▶ **Chan-Vese functional** is defined

$$E_{CV}(\mathcal{C}, c_1, c_2) = \mu L(\mathcal{C}) + \nu A(\Omega_1) + \\ + \lambda_1 \int_{\Omega_1} |f(x) - c_1|^2 dx + \lambda_2 \int_{\Omega_2} |f(x) - c_2|^2 dx$$

where  $\mu \geq 0, \nu \geq 0, \lambda_1, \lambda_2 \geq 0$  are given fixed parameters (weights),  $L(\mathcal{C})$  denotes the length of  $\mathcal{C}$ ,  $A(\Omega_1)$  denotes the area inside  $\mathcal{C}$ , and  $c_1$  and  $c_2$  are mean intensity values of two distinct regions

- ▶ We minimize the functional with respect to  $c_1, c_2$ , and  $\mathcal{C}$ .

# Equivalence with Mumford-Shah Functional

- ▶ **Chan-Vese** functional:

$$E_{CV}(\mathcal{C}, c_1, c_2) = \mu L(\mathcal{C}) + \nu A(\Omega_1) + \\ + \lambda_1 \int_{\Omega_1} |f(x) - c_1|^2 dx + \lambda_2 \int_{\Omega_2} |f(x) - c_2|^2 dx$$

- ▶ **Mumford-Shah** functional:

$$E_{MS}(u, \mathcal{C}) := \lambda \int_{\Omega} (u - f)^2 dx + \beta \int_{\Omega \setminus \mathcal{K}} |\nabla u|^2 dx + \mu |\mathcal{C}|$$

- ▶ Key difference:
  - ▶ Only two regions (in MS  $\lambda = \lambda_1 = \lambda_2$ )
  - ▶ Area term
  - ▶ Piecewise constant approximation  $u$  with 2 values

# Chan-Vese Functional: Theory

- ▶ For given  $\mathcal{C}$ , the optimal values  $c_1$  and  $c_2$  are **uniquely** determined as the average gray values of  $f$  within their respective regions.
- ▶ There exist a curve  $\mathcal{C}$  of finite length that minimises  $E_{CV}(\mathcal{C}) = E_{CV}(\mathcal{C}, c_1(\mathcal{C}), c_2(\mathcal{C}))$  (**existence of solution**).
- ▶ Energy is **non-convex**, therefore there can exist more than one local minimum.

# Contents

Active contours recap

## Active Contours Without Edges

Mumford-Shah Functional

Active-Contours Without Edges: Chan-Vese Functional

**Active-Contours Without Edges: Solution**

Examples

Summary

References

# Active-Contours Without Edges: Implementation

- ▶ **Level set formulation:** The curve  $\mathcal{C}$  is represented as a zero level set of a continuous function  $u : \Omega \rightarrow \mathbb{R}$ . We get

$$\begin{aligned} E_{CV}(\mathcal{C}, c_1, c_2) &= E_{CV}(u, c_1, c_2) = \\ &= \mu \int_{\Omega} |\nabla H(u(x))| dx + \nu \int_{\Omega} H(u(x)) dx + \\ &+ \lambda_1 \int_{\Omega} (f(x) - c_1)^2 H(u(x)) dx + \\ &+ \lambda_2 \int_{\Omega} (f(x) - c_2)^2 (1 - H(u(x))) dx \end{aligned}$$

where  $H(u)$  is Heaviside function:

$$H(u) = \begin{cases} 1 & \text{for } u \geq 0 \\ 0 & \text{for } u < 0 \end{cases}$$

# Active-Contours Without Edges: Implementation

- ▶  $H(u)$  is Heaviside function:

$$H(t) = \begin{cases} 1 & \text{for } t \geq 0 \\ 0 & \text{for } t < 0 \end{cases}$$

- ▶  $\delta(t) = \frac{d}{dt}H(t)$  is Dirac delta function:

$$\int_{-\infty}^{+\infty} \delta(x)f(x)dx = f(0), \quad \int_{-\infty}^{+\infty} \delta(x)dx = 1$$

- ▶ Curve length:

$$L(\mathcal{C}) = \int_{\Omega} |\nabla H(u(x))| dx = \int_{\Omega} \delta(u(x)) |\nabla u(x)| dx$$

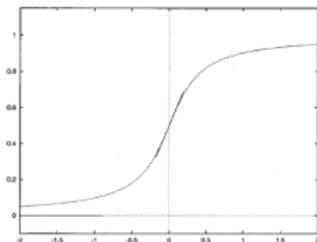
- ▶ Constants are average gray values of regions:

$$c_1 = \frac{\int_{\Omega} f(x)H(u(x))dx}{\int_{\Omega} H(u(x))dx}, \quad c_2 = \frac{\int_{\Omega} f(x)(1 - H(u(x)))dx}{\int_{\Omega} (1 - H(u(x)))dx}$$

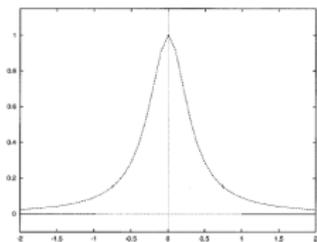
# Active-Contours Without Edges: Implementation

- ▶ Regularized version of  $H$  is used:

$$H_{\epsilon}(u) = \frac{1}{2} \left( 1 + \frac{2}{\pi} \tan^{-1} \left( \frac{u}{\epsilon} \right) \right).$$



- ▶ The corresponding  $\delta_{\epsilon}$ :



# Evolution Equation

- ▶ Minimization of CV functional leads to the following **evolution equation**:

$$\frac{\partial u}{\partial t} = \delta_\epsilon(u) \left[ \mu \nabla \cdot \left( \frac{\nabla u}{|\nabla u|} \right) - \nu - \lambda_1 (f - c_1)^2 + \lambda_2 (f - c_2)^2 \right]$$

where

$$c_1 = \frac{\int_{\Omega} f(x) H(u(x)) dx}{\int_{\Omega} H(u(x)) dx}, \quad c_2 = \frac{\int_{\Omega} f(x) (1 - H(u(x))) dx}{\int_{\Omega} (1 - H(u(x))) dx}$$

and  $\delta_\epsilon$  is regularized Dirac function. It is a derivative of

$$H_\epsilon(u) = \frac{1}{2} \left( 1 + \frac{2}{\pi} \tan^{-1} \left( \frac{u}{\epsilon} \right) \right).$$

- ▶ Notice, the first term is the curvature  $\kappa$
- ▶  $u$  is changed only within **narrow band** where  $\delta_\epsilon \neq 0$ .

# Discrete Evolution Equation

The discrete evolution equation is:

$$u_{ij}^{k+1} = u_{ij}^k + \tau \delta_\epsilon(u_{ij}^k) \cdot \left[ \text{Curvature}(\mu) - \lambda_1 (f_{ij} - c_1)^2 + \lambda_2 (f_{ij} - c_2)^2 \right]$$

where  $c_1$  and  $c_2$  are average intensities of foreground and background at time  $k\tau$  and  $\text{Curvature}(\mu)$  is the curvature term applied at  $u_{ij}$  (see previous Lecture).

# Contents

Active contours recap

## Active Contours Without Edges

Mumford-Shah Functional

Active-Contours Without Edges: Chan-Vese Functional

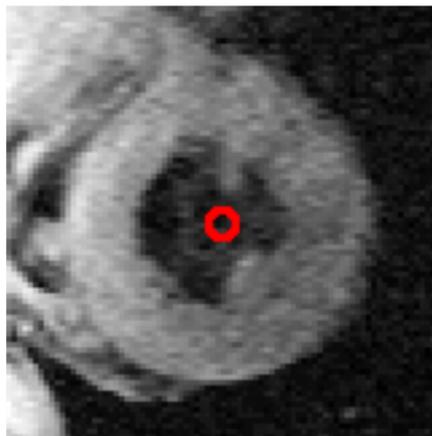
Active-Contours Without Edges: Solution

**Examples**

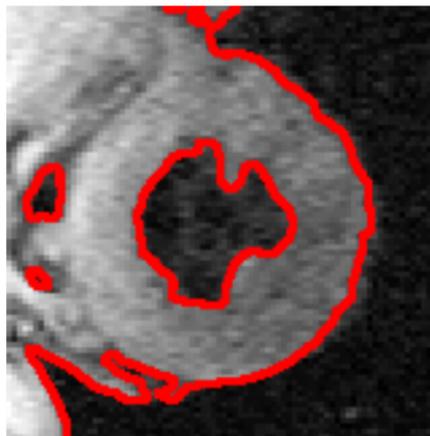
Summary

References

## Example: Comparison to GAC



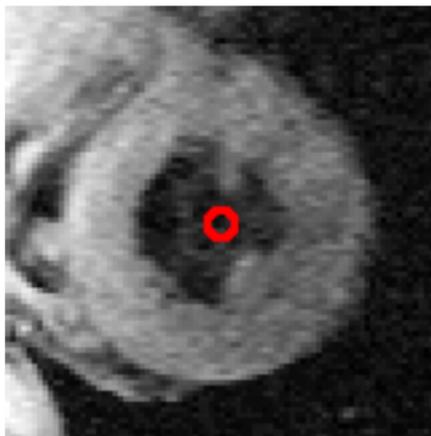
Initial contour



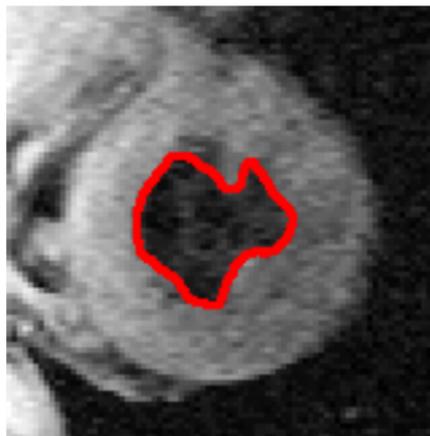
Result Chan-Vese

$$\tau = 0.5, \lambda_1 = \lambda_2 = 0.05,$$
$$\epsilon = 10, \mu = 10, \nu = 0$$

## Example: Comparison to GAC



Initial contour



Result GAC

$$\tau = 0.25, c = 1, \epsilon = 0.5,$$
$$\beta = 0.5, \rho = 2, \sigma = 2.0$$

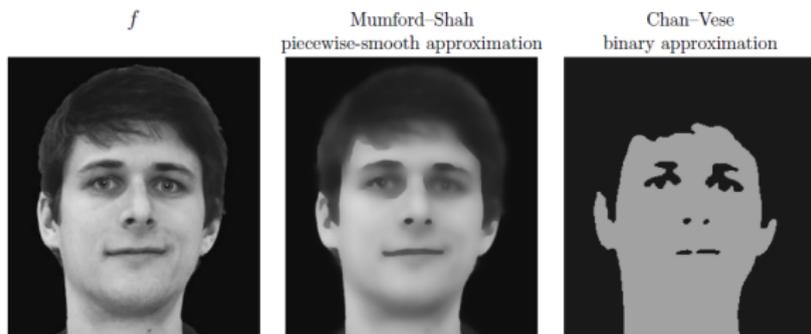
# Example: Comparison to Mumford-Shah

- ▶ **Chan-Vese** functional:

$$E_{CV}(C, c_1, c_2) = \mu L(C) + \nu A(\Omega_1) + \\ + \lambda_1 \int_{\Omega_1} |f(x) - c_1|^2 dx + \lambda_2 \int_{\Omega_2} |f(x) - c_2|^2 dx$$

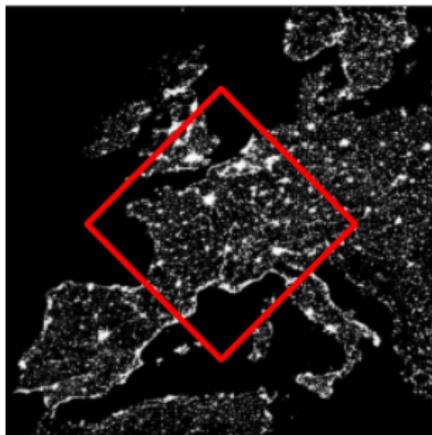
- ▶ **Mumford-Shah** functional:

$$E_{MS}(u, C) := \lambda \int_{\Omega} (u - f)^2 dx + \beta \int_{\Omega \setminus K} |\nabla u|^2 dx + \mu |C|$$

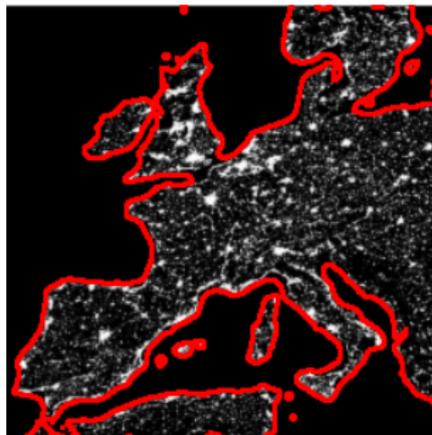


From [P. Getreuer, Chan-Vese Segmentation, IPOL Journal, 2012]

# Example



Initial contour

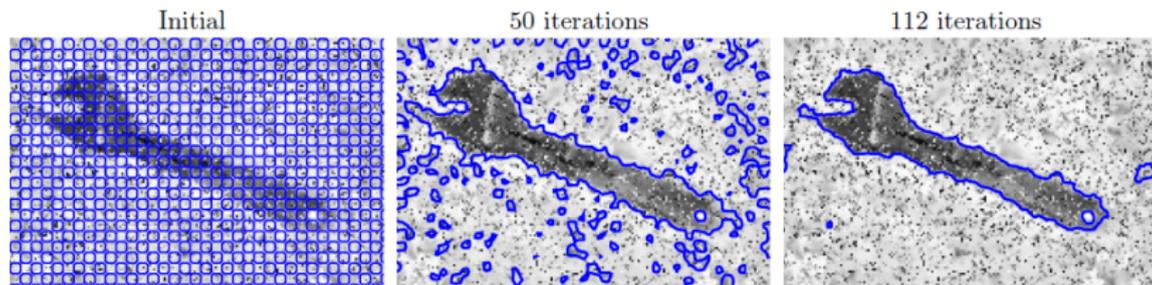


Result

$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 0.02,$$
$$\epsilon = 10, \mu = 10, \nu = 0$$

## Example: Initial $u_0$

- ▶  $u_0$  does not have to be SDF
- ▶  $u_0(x, y) = \sin(\frac{\pi}{5}x) \sin(\frac{\pi}{5}y)$

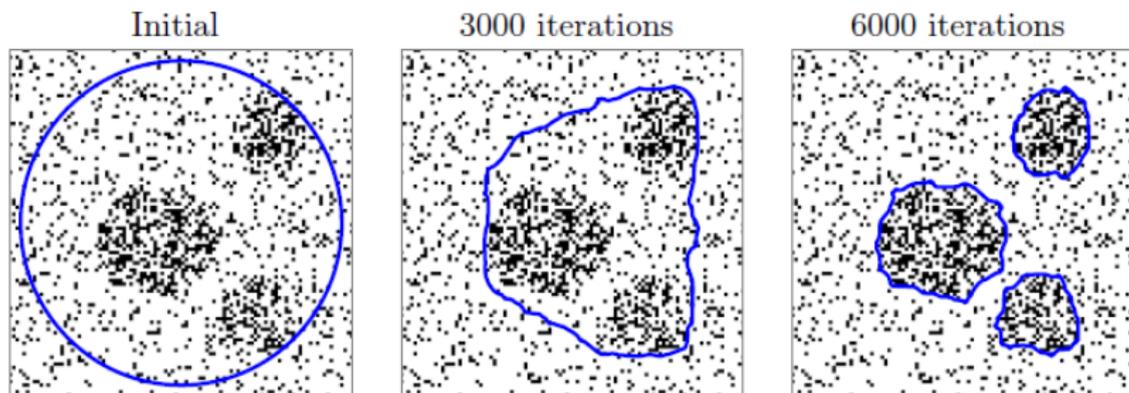


$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 1,$$
$$\mu = 0.2, \nu = 0, \epsilon = 1$$

From [P. Getreuer, Chan–Vese Segmentation, IPOL Journal, 2012]

## Example: Initial $u_0$

- ▶  $u_0$  is circle SDF

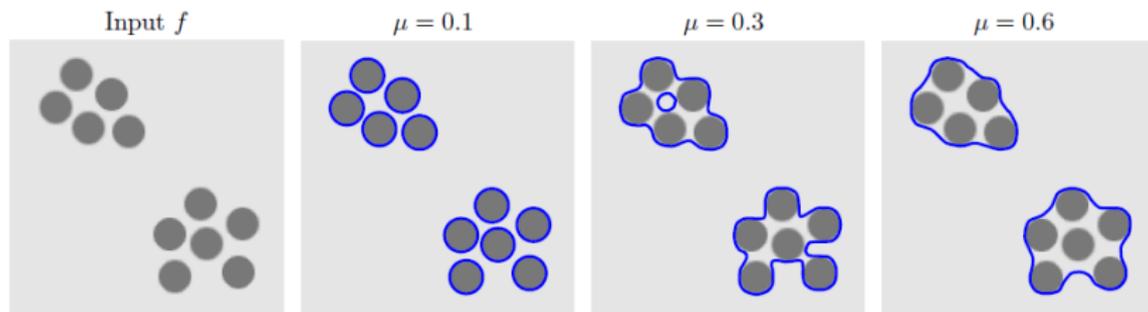


$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 1,$$

$$\mu = 0.3, \nu = 0, \epsilon = 1$$

From [P. Getreuer, Chan-Vese Segmentation, IPOL Journal, 2012]

# Example: Dependence on $\mu$



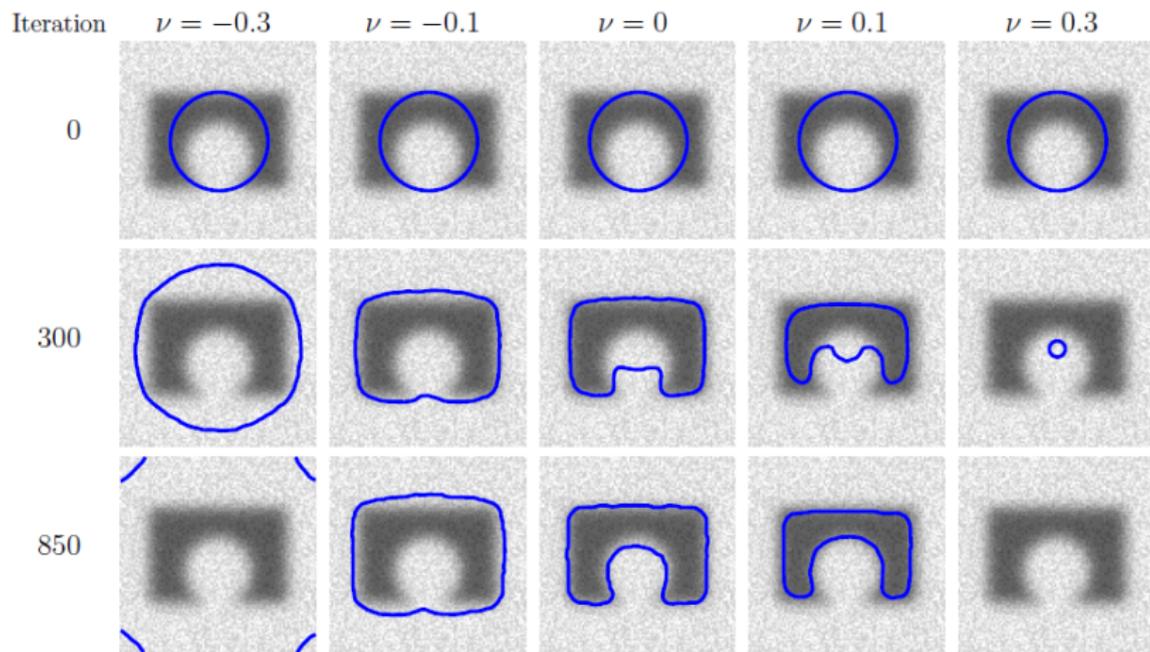
*Chan-Vese results with different values of  $\mu$ .*

$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 1,$$

$$\nu = 0, \epsilon = 1$$

From [P. Getreuer, Chan-Vese Segmentation, IPOL Journal, 2012]

# Example: Dependence on $\nu$



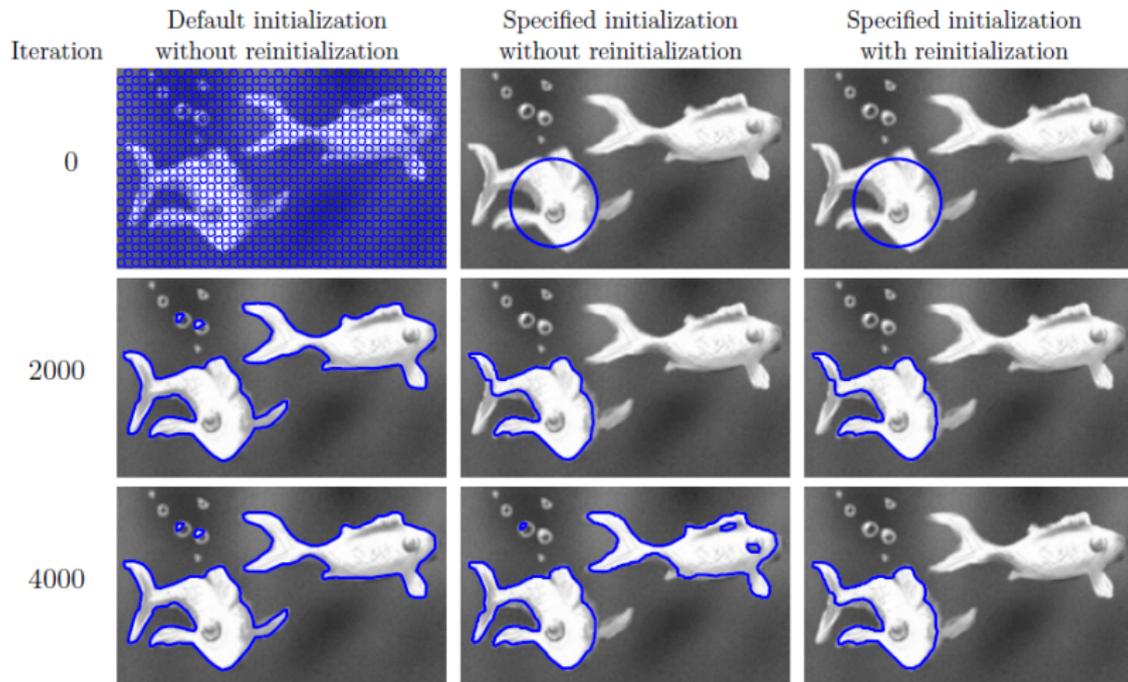
$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 1,$$

$$\mu = 0.2, \epsilon = 1$$

From [P. Getreuer, Chan-Vese Segmentation, IPOL Journal, 2012]

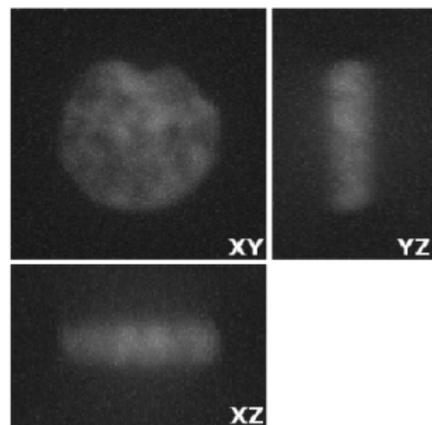
# Example: Reinitialization

- ▶ Reinitialization is required to avoid separated objects



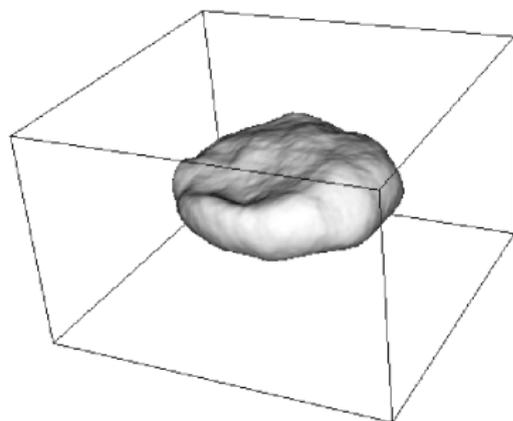
$$\tau = 0.5, \lambda_1 = 1, \lambda_2 = 1, \\ \mu = 0.15, \nu = 0, \epsilon = 1$$

## 3D Example



Input

Size:  $160 \times 150 \times 60$



Result

# Contents

Active contours recap

Active Contours Without Edges

Mumford-Shah Functional

Active-Contours Without Edges: Chan-Vese Functional

Active-Contours Without Edges: Solution

Examples

Summary

References

# Summary

- ▶ Mumford-Shah functional is a **general variational formulation of segmentation**
  - ▶ It is mathematically very difficult (search for function  $u$  and contours  $\mathcal{C}$ )
  - ▶ Non-convex
  - ▶ Computationally expensive
- ▶ Chan-Vese active contours without edges is a **region based segmentation** approach
  - ▶ Segmentation into 2 components
  - ▶ Considers homogeneity of regions not only contours
  - ▶ It is a special case of Mumford-Shah functional
  - ▶ Fast computation based on level sets

# Contents

Active contours recap

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Active-Contours Without Edges: Solution

Examples

Summary

References

# References

- ▶ Getreuer, Pascal. "Chan-veese segmentation."Image Processing On Line 2 (2012): 214-224.
- ▶ Chan, Tony F., and Luminita A. Vese. "Active contours without edges."IEEE Transactions on image processing 10.2 (2001): 266-277.